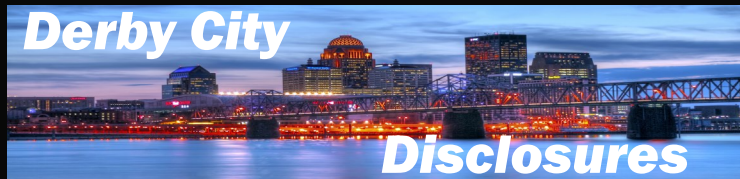




The Institute of  
Internal Auditors  
Louisville

Derby City



Disclosures

APRIL 2025

Louisville IIA  
Chapter News

[Chapter Homepage](#)



[LinkedIn](#)



[Instagram](#)



SPECIAL POINTS  
OF INTEREST

[Career  
Opportunities](#)

[IIA Academic  
Relations](#)

[CAP Update](#)

[Chapter's Instagram  
Page](#)

[CAE RoundTable](#)

[Upcoming IIA  
Headquarter Events](#)

[NEWSLETTER  
SUBMISSIONS](#)

The newsletter will be published monthly from September 2024 through May 2025. If you have a request for a submission please email the information to [stthomas@republicbank.com](mailto:stthomas@republicbank.com) and your submission will be included in the next month's issue.

## MESSAGE FROM THE PRESIDENT

Greetings IIA Louisville Chapter,

Happy Spring Everyone! I hope you are all doing well. As our chapter year progresses, we continue to provide high-quality, affordable CPE opportunities.



Last month Steve and Matthew of Protiviti gave an excellent presentation on Internal Audit's Role in ESG and Sustainability. Thank you to Steve and Matthew for this enjoyable and thought-provoking discussion!

This month we are excited to welcome our current Board Member and incoming Chapter Secretary, Zack Estes, to the podium. He will be sharing his knowledge with us through his presentation, "New Patch Available: Updating Internal Audit for the Cybersecurity Topical Requirement." Please join us on April 8<sup>th</sup> either in-person or virtually. Those that attend in-person will enjoy lunch and have an opportunity to network with local IA professionals and our wonderful presenter.

If you are looking for a way to get more involved with our chapter, please reach out to [IIA Louisville](#).

Looking forward to seeing you all at our chapter events. Thank you!

Lesley Clark  
IIA Louisville Chapter President

## MARCH MONTHLY MEETING — HYBRID

### LUNCH MENU

The in-person monthly meeting includes your choice of one City BBQ lunch box selection, including sides and dessert option:

#### Lunch Boxes

Chipotle BBQ

Black Bean Burger

Pulled Chicken

Pulled Pork

Smoked Turkey

(Bun or No Bun)

#### Sides (pick two)

Baked beans w/brisket

Corn pudding

Corn bread

Creamy coleslaw

Green beans w/bacon

Mac & cheese

Potato salad

#### Dessert

Cookie bars

Note that there will also be soft drinks and water available on-site.

**Date:** Tuesday, April 8, 2025

**Meeting Time:** 12:00 p.m. - 1:00 p.m. (All IIA Louisville Chapter Events are held on Eastern Time.)

**CPE credits:** 1 hour

<b>Cost:</b>	Member Virtual Price:	\$15
	Non-Member Virtual Price:	\$20
	Member In-Person Price:	\$25
	Non-Member In-Person Price:	\$30
	Student In-Person:	\$10
	Student Virtual:	Free

**Location:** Virtual — Register below to receive call-in details

In-Person @ Dean Dorton (ShelbyHurst Campus)  
435 N Whittington Pkwy Suite 400, Louisville, KY

**Registration:** [Here](#)

**VENUE UPDATE:** Dean Dorton now has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

### PRESENTATION SUMMARY

**Presentation Title:** New Patch Available: Updating Internal Audit for the Cybersecurity Topical Requirement

**Presentation Synopsis:** The IIA's new Cybersecurity Topical Requirement is the latest patch for Internal Audit, designed to strengthen governance, risk management, and cybersecurity controls. In this session, we'll download and install key insights on the new Cybersecurity Topical Requirement and how to integrate cyber risk into your audit plan.

*Please register for this session by Noon on April 7th to secure your spot.*

### SPEAKER BIO

**Zack Estes, CIA, CISA**  
*Republic Bank & Trust Company*

Zack Estes is the VP, Information Systems Audit Manager for Republic Bank & Trust Company. Zack started out with the Bank in 2008 as an intern and was hired on full time upon graduation. Zack holds a Master's degree in Business Administration and undergraduate degrees in Accounting and Economics both from the University of Louisville. Additionally, Zack has his CIA and CISA certifications. For the Chapter, Zack currently serves as on the Board of Governors and the Academic Relations Co-Chair.



## NEW INSTAGRAM PAGE

Sharing exciting news! We have a new Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [Sean Byrnes](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

## CAP UPDATE

As you know, our Chapter has a goal to meet the requirements for Gold status each year. Below is a list of some of the things your Chapter leadership is doing to ensure we meet that goal.

- \* Deliver a minimum of eight (8) quality CPE hours to membership
- \* Offer chapter seminars
- \* Highlight milestone member anniversaries in the newsletter
- \* Promote IIA certifications to achieve 2% certification growth
- \* Recognize newly certified members and provide framing for newly earned CIA certificates
- \* Grow membership by 2%
- \* Recruit CAEs to the IIA
- \* Hold events within the Chapter & members within their organizations to celebrate IIA awareness month in May

## IIA ACADEMIC RELATIONS

### Student Memberships

#### **FREE IIA Student Memberships—Easier Enrollment**

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Zack Estes at [zestes@republicbank.com](mailto:zestes@republicbank.com) for assistance with enrollment.

#### **Academic Relations Volunteer Opportunities**

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to [Zack Estes](#) to discuss opportunities.

#### **Internships**

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.

## CERTIFICATION NEWS

### Certification Recognition:

Lana Glover and Jacob Heaton obtained the Certified Internal Auditor designation

---

### UPDATED CIA EXAMS

Significant and important changes are coming soon to the **Certified Internal Auditor® (CIA®)** exams. Here's what you need to know to prepare:

- The updated CIA exams will be released in English on **28 May 2025**. Other languages will follow. An exam release schedule, list of candidate scenarios, FAQs, and additional details are available at [theiia.org/CIA2025](https://theiia.org/CIA2025).

What is changing?

The topic areas on each CIA exam syllabus will be updated to:

- Bring the exams up to date with the current global practice of internal auditing.
- Create greater alignment between the CIA syllabi and The IIA's new Global Internal Audit Standards.
- Minimize duplication and overlap among the three exam parts.
- Clarify the knowledge, skills, and abilities that exam candidates must possess in order to pass the exam.

### CIA EXAM PREP RESOURCES

IIA CIA Practice Questions allow candidates to practice their skills and knowledge with retired questions from previous CIA® exams.

Updated questions aligning with the new CIA exams are now available in English.

[Visit here for the updated 2025 practice questions.](#)

### Transition to Test Center-Only Exam Delivery

The Professional Certifications Board (PCB) provided conditional approval for online proctored exams in 2021, as a precautionary solution during the COVID-19 global pandemic. That conditional approval is set to expire as we retire the 2019 CIA syllabus.

To preserve the integrity of IIA certification programs, reduce misconduct cases, and enhance the testing experience for all candidates, the decision has been made to transition back to a test center-only delivery model. Effective 28 May 2025, online proctoring will be discontinued permanently. As part of this transition, the last day to register for online proctored exams will be 1 May 2025.

## CAE ROUNDTABLE

New Members &  
AnniversariesNew Members

LeaAnn Gaspard

Jennifer Fritz

Megan Schwemmer

Jennifer Zimmerman

Brian Jackson

Catherine Rawlinson

Niesheia Spalding

Anniversaries

## 20 Year Anniversary

Rhonda Mitchell

Stacy Robertson

## 30 Year Anniversary

Dale Puckett

**“Calling all Auditors – Audit Roundtables”** The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings. If you are interested, please contact Shawn Stevison at [shawnstevison@yahoo.com](mailto:shawnstevison@yahoo.com).

# Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to **Catie Knable** for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

HEY! We are looking for a QAR Committee member. If you are interested or have a recommendation, please reach out to [Jodi Renn](#) or [Lesley Clark](#)

## LOUISVILLE IIA MULTI-TOPIC SEMINAR

The IIA Louisville Multi-Topic Seminar will take place on Tuesday, May 13<sup>th</sup>. We have a fantastic lineup of fabulous speakers who will share their knowledge and expertise with us throughout the day. Attendees can earn up to 8 CPE hours for this event. Breakfast and Lunch will be provided for in-person attendees.

### Schedule:

7:30am – 8:00am:	Sign-in, networking, and bagels
8:00am – Noon:	Julie De Jesus, I See You Leadership, will present “The Connected Leader: How to insure your people feel seen, appreciated and trusted.”
Noon – 12:30pm:	Lunch Break
12:30pm – 2:30pm:	Ryan Lewis, CISA, Cybersecurity Advisor, DHS
2:30pm – 4:30pm:	Scott Rexroat, MCP, CISA, IT and Assurance Manager, Cherry Bekaert Keith Jackson, CPA, CISA, CCSFP, Senior Manager, Cherry Bekaert

### Pricing:

IIA Member In-Person:	\$175.00
IIA Member Virtual:	\$150.00
Non-Member In-Person:	\$200.00
Non-Member Virtual:	\$175.00
Student In-Person:	\$50.00
Student Virtual:	FREE

**NOTE:** The Top Golf Social Event (p.7) is following the seminar, and is free, but you will need to register separately using the link on page 7

**More Information to come!**

## UPCOMING IIA HEADQUARTERS EVENTS

### IIA Conferences

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing. IIA conferences are designed to allow for networking with peers, gaining a greater understanding of leading practices, and taking your career to the next level.

**Analytics, Automation and AI Virtual Conference - April 24** [Register Here](#)



## UPCOMING IIA HEADQUARTERS EVENTS CONT.

### **International Conference: July 14 - 16, 2025 - [Register Here](#)**

The IIA's 2025 International Conference sets the stage in sharing new and forward-looking information and leading practices in pursuing excellence in internal audit. As internal auditors increasingly become trusted advisors and an integral part of their organizations, they are compelled to broaden their arsenal of skills. This conference will prepare audit professionals to embrace and learn new technologies and implement new tools and techniques to effectively respond to shifting business and risk landscapes.

### **GRC Conference: August 2025 - Exact Date TBD - [Register Here](#)**

For the 12th year, The IIA and ISACA will partner to gather the leading minds in governance, risk management and control to provide dedicated professionals like you with world-class content, innovative ideas and practical guidance.

Please visit the [IIA Learning Conferences webpage](#) for additional training opportunities.

## IIA SOCIAL EVENT UPDATE

### **IIA Louisville Chapter Social Event - Top Golf**

**[Register](#)** by Tuesday, May 6th, 2025.

Please join us for an evening of fun! We will be holding a social event on the evening of Tuesday, May 13th, 2025, at Top Golf Louisville. This event is free of charge and open to all IIA Louisville Chapter Members, but **registration is required**. Attendees will enjoy golf games, an assortment of appetizers, a beverage or two, and the pleasant company of their fellow internal audit professionals. Looking forward to seeing you there!

**Date:** 5/13/2025

**Time:** 5:00pm - 7:00pm Eastern Time

**Location:** Top Golf, 301 Oxmoor Lane, Louisville, KY 40222

## IIA ENGAGEMENT OPPORTUNITIES

### Announcing the Slate of Nominees for The IIA's 2025–26 Boards of Directors



The IIA is pleased to announce the slate of nominees for the 2025–26 North American and Global Board of Directors. Please vote by proxy for the Global Board of Directors that will be elected in July.

[VIEW THE SLATE](#)



### Comment on Third-Party Topical Requirement Draft

The IIA wants feedback on the Third-Party Topical Requirement draft, available for review and public comment until April 20. Topical Requirements, a new IPPF<sup>®</sup> component, provide a consistent baseline for assessing specific risk areas.

[VIEW THE DRAFT & SURVEY](#)



### Global Call for Subject Matter Experts Now Open!

Give back to the profession by sharing your expertise through writing, presenting, or contributing to guidance and curriculum. The IIA seeks internal audit experts to provide fresh insights and leadership. Plus, select writing and presentation roles offer CPE credits.

[LEARN MORE](#)

## CAREER OPPORTUNITIES

### [Internal Auditor](#) (JCPS)

Contact May Porter at [mayria.porter@jefferson.kyschools.us](mailto:mayria.porter@jefferson.kyschools.us) for more information about the position and instructions for applying.



## OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT AND CHAPTER PROGRAM  
CHAIR

[Lesley Clark](#)  
Federal Reserve Bank of St.Louis

## VICE PRESIDENT &amp; PROGRAM CHAIR

[Myhanh Pham](#)  
Synchrony

CHAPTER ACHIEVEMENT PROGRAM (CAP)  
CHAIR

[Jenni Schelling](#)  
Louisville Water Company

## TREASURER

[London Lopez](#)  
Humana, Inc.

## SECRETARY

[Robert Stutsman](#)  
Credit First National Association  
(CFNA)

## MEMBERSHIP CHAIR

[Catie Knable](#)  
Caesars Entertainment

## ACADEMIC RELATIONS

[Zack Estes](#)  
Republic Bank & Trust Company

[Roy Holden](#)  
Kentucky Lottery

## ADVOCACY/DIVERSITY

[London Lopez](#)  
Humana, Inc.

[Myhanh Pham](#)  
Synchrony

## AUDIT CHAIR

[Brittany Myers](#)  
Republic Bank & Trust Company

## CERTIFICATIONS CHAIR

[Bob Aemmer](#)  
LG&E and KU Energy

## CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR  
[Shawn Stevison](#)  
Humana, Inc.

## GOVERNMENT RELATIONS CHAIR

[May Porter](#)  
JCPS

## NEWSLETTER CHAIR

[Shenikia Thomas](#)  
Republic Bank & Trust Company

## SEMINAR CHAIR

[Sonja Heath](#)  
Humana, Inc.

## QUALITY ASSESSMENT REVIEW CHAIR

[Ann Bauer](#)  
Republic Bank & Trust Company

## SOCIAL ACTIVITIES CHAIR

[Andrea Higgins](#)  
Robert Half Management Resources

## WEBMASTER

[Sonja Heath](#)  
Humana, Inc.

## SOCIAL MEDIA

[Sean Byrnes](#)  
Humana, Inc.

## BOARD OF GOVERNORS

## ELECTED MEMBER TERMS

[Ariana Campbell](#) (Thru 12/31/2025)  
Fannie Mae

[Zack Estes](#) (Thru 12/31/2025)  
Republic Bank & Trust Company

[Roy Holden](#) (Thru 12/31/2026)  
Kentucky Lottery

[May Porter](#) (Thru 5/31/2025)  
JCPS

[Grace Wilson](#) (Thru 12/31/2026)  
Humana

## PAST PRESIDENTS TERMS

[Catie Knable](#) (Thru 5/31/2024)  
Caesars Entertainment

[Shawn Stevison](#)  
Humana, Inc. (Thru 5/31/2025)

## ADVERTISEMENT POLICY

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, [IF](#) at least one employee is a member of the local chapter. Advertisements also include posting of recruitment information on the chapter website for one month. Those interested, please email the Louisville IIA Chapter [Newsletter Chair](#).



The Institute of  
**Internal Auditors**  
Louisville