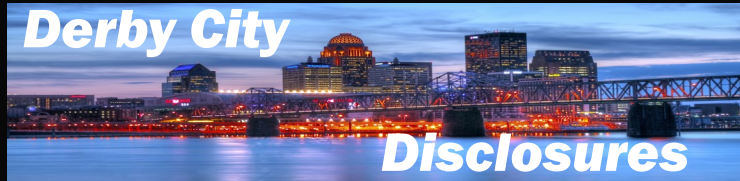




The Institute of
Internal Auditors
Louisville

Derby City



Disclosures

NOVEMBER 2024

Louisville IIA
Chapter News

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The newsletter will be published monthly from September 2024 through May 2025.

If you have a request for a submission please email the information to sthomas@republicbank.com and your submission will be

MESSAGE FROM THE PRESIDENT

Greetings IIA Louisville Chapter,



I hope you've had a frightfully fun Halloween! With Thanksgiving just around the corner, I am thankful for our wonderful IIA Louisville Chapter volunteers and members. Thank you to all the volunteers that keep our chapter running, and to all the members who continue to support the chapter and take advantage of chapter events for CPE and networking.

Last month The Federal Reserve Bank of St. Louis' Audit Department Leadership and Sr. Economist Charles Gascon gave an excellent presentation on Internal Audit at the Fed and economic update. Thank you to Brian Schuler, Chris Wallace, Hilary Powles, and Charles Gascon for this enjoyable and thought-provoking discussion!

This month we are excited to welcome our former Chapter President and current Board Member, Shawn Stevison, to the podium. She will be sharing her knowledge with us through her presentation, "Internal Audit – Beyond the Controls." Please join us on November 12th either in-person or virtually, as we'll be returning to our hybrid meeting format.

If you are looking for a way to get more involved with our chapter, please reach out to [IIA Louisville](#).

Looking forward to seeing you at our chapter events. Thank you!

Lesley Clark
IIA Louisville Chapter President

New Members & Anniversaries

New Members

Douglas Allen
Daysia Boyd

Anniversaries

20 Year Anniversary
Randall Downs, CIA
Joyce Meyer, CFSA

NOVEMBER LUNCH MENU

The in-person monthly meeting includes your choice of one Jason’s Deli lunch box selection, including sides and dessert option:

Note that there will also be soft drinks and water available on-site.

NOVEMBER MONTHLY MEETING — HYBRID

Date: Tuesday, November 12, 2024

Meeting Time: 12:00 p.m. - 1:00 p.m

CPE credits: 1 hour

Cost:	Member Virtual Price:	\$15
	Non-Member Virtual Price:	\$20
	Member In-Person Price:	\$25
	Non-Member In-Person Price:	\$30
	Student In-Person:	\$10
	Student Virtual:	Free

Location: Virtual — Register below to receive call-in details

In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400, Louisville, KY 40222

Registration: [Here](#)

VENUE UPDATE: Dean Dorton now has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

PRESENTATION SUMMARY

Topic: Internal Audit – Beyond the Controls

Presentation Synopsis: This presentation will cover the steps in the life cycle of internal audit, as well as the business’ side of the equation – what we are trying to do for the business, why it is important, and how it strengthens not only the process but the organization as a whole. Attendees will come away with an understanding of not only what the steps are in an internal audit, but also the knowledge of the support they are providing to the business partner.

Please register for this session by Tuesday, November 11th.

PRESENTER PROFILE

Shawn Stevison — Director, Internal Audit, Humana

Shawn Stevison is an audit and compliance professional with 20+ years of experience. Her experience includes hospitals and health systems, long-term care facilities, and home and community-based health services. Prior experience includes working in Public Accounting both in a Healthcare Consulting Capacity and under contract for conducting Single Audits of Non-profit and Governmental entities. Shawn is a CPA, Certified in Healthcare Compliance, Certified Healthcare Internal Auditor, Certified in Risk Management Assurance, and is a Chartered Global





NEW INSTAGRAM PAGE

Sharing exciting news! We have a new Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [Sean Byrnes](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

CAP UPDATE

The IIA is no longer assigning points to activities but focusing on the completion of activities. Your continued participation in chapter activities will help us reach our goals. Some examples are attending monthly meetings and seminars for CPE, volunteering on a Chapter committee, attending social events, achieving certifications, and recruiting new members to the IIA.

One of the goals our Chapter needs to achieve is a 2% growth in member certifications. The IIA offers four certifications: Certified Internal Auditor (CIA), Certification in Risk Management Assurance (CRMA), Qualification in Internal Audit Leadership (QIAL), and Internal Audit Practitioner. If you are interested in taking steps toward any of these certifications, please refer to our newsletters for information on CIA training material discounts and the IIA website for instructions on how to become certified.

Please continue to support our Chapter by attending monthly meetings, seminars, participating in Chapter social activities, recruiting new members, and working towards IIA certifications. If you would like to get more involved in Chapter operations, review the full list of our Chapter Officers and Committee Chairs and reach out to the appropriate person for information.

IIA ACADEMIC RELATIONS

Student Memberships

FREE IIA Student Memberships!

Students can register [HERE](#) for a Free Student Membership, then choose the Louisville Chapter once enrolled. Please continue to encourage your Interns and junior associates to join our IIA Chapter. IIA Student Memberships are now free of charge for any student enrolled in a minimum of 6 credit hours.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to [Zack Estes](#) to discuss opportunities.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.

CERTIFICATION NEWS

Certification Recognition:

Natalie Desser completed the Certification in Risk Management Assurance (CRMA)

Annual Certification Renewal

The annual certification renewal period will begin soon. Don't forget to earn CPEs before the end of the year and renew your IIA certification(s)!

Annual Certification Renewal opens 1 October each year. Log in to the [Certification Candidate Management System \(CCMS\)](#) to begin your renewal.

The renewal deadline is 31 December each year. Should you fail to renew your Active certification, it will enter a Grace status, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining in Grace for 2 years will enter a Revoked status and must submit a recertification application and take the corresponding exam if they wish to become certified again.

As an IIA certified or qualified professional, you are responsible for ensuring that your certification is renewed annually. Get more information on [certification renewal steps](#) for Active and Grace status certification holders.

NEED CPEs...

Choose from a variety of online training options to earn your CPEs by December 31. You can learn what you need to succeed, when you can, from wherever you are with our OnDemand courses, free-to-member webinars, certificate programs, and virtual or in-person instructor-led training.

[EXPLORE OPTIONS](#)

CAE ROUNDTABLE

“Calling all Auditors – Audit Roundtables” The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meet-

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

UPCOMING IIA HEADQUARTERS EVENTS

IIA Conferences

The IIA offers a wide variety of dynamic events focused on emerging issues in internal auditing. IIA conferences are designed to allow for networking with peers, gaining a greater understanding of leading practices, and taking your career to the next level.

GAM Conference: March 10 - 12, 2025 - [Register Here](#)

Join the most esteemed gathering of internal audit innovators, leaders, and trailblazers at 2025 GAM: Great Audit Minds. Immerse yourself in a dynamic learning environment where you can collaborate and tackle today's pressing business and audit challenges while pioneering the frontiers of tomorrow. By participating, you will gain invaluable insights, forge meaningful connections, and acquire the tools and knowledge necessary to bring true value to your organization. Embrace the future of internal audit and revolutionize your impact today.

UPCOMING IIA HEADQUARTERS EVENTS CONT.

International Conference: July 14 - 16, 2025 - [Register Here](#)

The IIA's 2025 International Conference sets the stage in sharing new and forward-looking information and leading practices in pursuing excellence in internal audit. As internal auditors increasingly become trusted advisors and an integral part of their organizations, they are compelled to broaden their arsenal of skills. This conference will prepare audit professionals to embrace and learn new technologies and implement new tools and techniques to effectively respond to shifting business and risk landscapes.

GRC Conference: August 2025 - Exact Date TBD - [Register Here](#)

For the 12th year, The IIA and ISACA will partner to gather the leading minds in governance, risk management and control to provide dedicated professionals like you with world-class content, innovative ideas and practical guidance.

CAREER OPPORTUNITIES

[Sr Auditor](#) (Republic Bank)

The Sr. Auditor is responsible for evaluating operating guidelines and processes related to the bank's traditional banking services and Republic Processing Group ("RPG") services. This position will ensure that such controls are adequate, functional and in accordance with industry standards, regulatory requirements and corporate policies. This position is responsible for planning and conducting reviews of organizational and functional activities along with evaluating the adequacy and effectiveness of management controls over those activities in accordance with the accepted professional standards.

Reach out directly to:

Erin Bukowski, CPA, CIA, SVP, Managing Director Internal Audit, Republic Bank

(502) 560-8684 office

ebukowski@republicbank.com

Or Apply at: [Careers at Republic Bank](#)

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT AND CHAPTER PROGRAM CHAIR

[Lesley Clark](#)
Federal Reserve Bank of St.Louis

VICE PRESIDENT & PROGRAM CHAIR

[Myhanh Pham](#)
Synchrony

CHAPTER ACHIEVEMENT PROGRAM (CAP) CHAIR

[Jenni Schelling](#)
Louisville Water Company

TREASURER

[London Lopez](#)
Humana, Inc.

SECRETARY

[Robert Stutsman](#)
Credit First National Association (CFNA)

MEMBERSHIP CHAIR

[Catie Knable](#)
Caesars Entertainment

ACADEMIC RELATIONS

[Zack Estes](#)
Republic Bank & Trust Company

[Roy Holden](#)
Kentucky Lottery

ADVOCACY/DIVERSITY

[London Lopez](#)
Humana, Inc.

[Myhanh Pham](#)
Synchrony

AUDIT CHAIR

[Brittany Myers](#)
Republic Bank & Trust Company

CERTIFICATIONS CHAIR

[Bob Aemmer](#)
LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR
[Shawn Stevison](#)
Humana, Inc.

GOVERNMENT RELATIONS CHAIR

[May Porter](#)
Louisville Metro Government

NEWSLETTER CHAIR

[Shenikia Thomas](#)
Republic Bank & Trust Company

SEMINAR CHAIR

[Sonja Heath](#)
Humana, Inc.

QUALITY ASSESSMENT REVIEW CHAIR

[Ann Bauer](#)
Republic Bank & Trust Company

SOCIAL ACTIVITIES CHAIR

[Andrea Higgins](#)
Robert Half Management Resources

WEBMASTER

[Sonja Heath](#)
Humana, Inc.

SOCIAL MEDIA

[Sean Byrnes](#)
Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Ariana Campbell](#) (Thru 12/31/2025)
Fannie Mae

[Zack Estes](#) (Thru 12/31/2025)
Republic Bank & Trust Company

[Roy Holden](#) (Thru 12/31/2026)
Kentucky Lottery

[May Porter](#) (Thru 5/31/2025)
JCPS

[Grace Wilson](#) (Thru 12/31/2026)
Humana

PAST PRESIDENTS TERMS

[Catie Knable](#) (Thru 5/31/2024)
Caesars Entertainment

[Shawn Stevison](#)
Humana, Inc. (Thru 5/31/2025)

ADVERTISEMENT POLICY

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IF at least one employee is a member of the local chapter. Advertisements also include posting of recruitment information on the chapter website for one month. Those interested, please email the Louisville IIA Chapter [Newsletter Chair](#).



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