



The Institute of  
Internal Auditors  
Louisville

Derby City



Disclosures

MAY 2024

Louisville IIA  
Chapter News

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NEWSLETTER  
SUBMISSIONS

The newsletter will be published monthly from September through May of each year. If you have a request for a submission please email the information to [brimyrs@republicbank.com](mailto:brimyrs@republicbank.com) and your submission will be included in the next month's issue.

## MESSAGE FROM THE PRESIDENT

Hello IIA Louisville Chapter Members,

Welcome to May and happy Internal Audit Awareness Month! I'd like to thank all of you for your support throughout this year. Taking on the role of President has been both challenging and rewarding. I'm grateful for the leadership experience and the relationships we've been able to build through volunteering to serve our chapter. It has truly been a pleasure to be a part of this organization and I look forward to serving as your chapter president again next year.



I would like to thank Marcie Smitha, who served as Treasurer, and Chris Elmore and London Lopez, who have completed their term as members of our Board of Governors. I appreciate everything you've done for our organization. I also look forward to continuing to work with Myhanh Pham, Robert Stutsman, and London Lopez, as Vice President, Secretary, and Treasurer, respectively.

Our final event of the chapter year is being held this month, and it's sure to be a good one. We will be hosting an 8 CPE-hour seminar with exciting topics like Cybersecurity and IT Risk Assessments, Negotiation, 2-hours of Ethics, and other fun topics. Please take a look at the events page of this newsletter and register to join us on May 14<sup>th</sup>.

We are working to plan our lunch presentations for the next chapter year. If you have any ideas of topics you'd like to see discussed, or if you have any ideas for how we can improve our programs, please reach out to [Lesley Clark](#), [Myhanh Pham](#), or [Robert Stutsman](#).

Thank you,

Lesley Clark  
President, Louisville IIA 2023-2024

## CAP UPDATE

As the Chapter year comes to a close, leadership is reviewing the CAP requirements to ensure we meet our goal for Gold status. Thank you for all your participation and support throughout the year. Please continue to attend our CPE events, work toward your certifications, advocate for the internal audit profession, and recognize IIA Awareness Month within your workplace.

## MAY CHAPTER EVENT — HYBRID

<b>Date:</b>	Tuesday, May 14th, 2023		
<b>Meeting Time:</b>	8:00 a.m. EST - 4:30 p.m EST		
<b>CPE credits:</b>	8 hours		
<b>Cost:</b>	Member Virtual Price:	\$125	
	Member In-Person Price:	\$150	
	Non-Member Virtual Price:	\$150	
	Non-Member In-Person Price:	\$175	
	Student Virtual Price:	\$Free	
	Student In-Person Price:	\$50	
<b>Location:</b>	Virtual — Register below to receive call-in details		
	In-Person @ Dean Dorton (ShelbyHurst Campus) 435 N Whittington Pkwy Suite 400, Louisville, KY 40222		

### Notes for May Event:

- If attending in person, please register by 4:00pm on Monday May 13th
- Doors will open at 7:30am
- **Breakfast and Lunch will be provided.**

**Registration:** [Here](#)

### PRESENTATION SUMMARY

**Topics:** Culture & Diversity, Ethics, and Fraud

### PRESENTER PROFILES

IIA Louisville is excited to present the lineup for our May 2024 seminar on Tuesday, May 14. The seminar will be eligible for 8 hours of CPE including 2 hours of ethics CPE! We will begin promptly at 8:00 AM with networking and a light breakfast starting at 7:30 AM. We will be in the Dean Dorton Training Room at 435 N Whittington Pkwy, Ste 400, Louisville, KY 40222. Lunch is included.

**Gui Cozzi** and **Kevin Cornwell** will present **Cyber Strategy and IT Risk Assessment** starting at 8:00 AM. Gui will discuss the importance of having a strategy to quickly detect, mitigate and remediate a cyber-attack, the premise being it is not “if” but “when”, therefore the strategy should be how to best mitigate as fast as possible. Then Kevin Cornwell will discuss on the importance of an IT Risk Assessment and identifying the right IT framework to best set you up to quickly detect, mitigate, and remediate.

**Michael (Mike) Reinhart, CPA** will present the **Ethical Effects of AI on the Accounting Profession** starting at 10:00 AM. His presentation will include an Overview of current AI uses/prevalence in industry, a Cost Benefit Analysis via Pros and Cons, Direct and Indirect impacts, Ethical dilemmas with AI utilization, Accounting usage, Applying the fraud triangle to AI, Internal Control Design, and Auditing Procedures for AI.

**Dr. K. Chris Cox** will present **Relationship Value in Negotiation** starting at 12:30 PM. Chris will go over some foundational negotiation concepts and tactics, and then discuss how relationships can, do, should, and should not influence the way we negotiate, as well as the agreements we make.

**Dr. Brooke Stewart** will end our day starting at 2:30 PM on **Beyond Burnout: How to Reset Your System, Manage the Stress Response and Find Balance in the Workplace**. You will learn how to:

- Reset your system in the blink of an eye
- Move through distress and rework feelings such as overwhelm and burn out
- Tap into untapped reservoirs of energy
- Utilize the power of mindfulness, meditation and journaling
- Support the system through mindset, diet, lifestyle and supplementation
- Tune in, connect and return to the present moment so that you can in turn, make choices that are in alignment with your health, desires and growth trajectory



### NEW INSTAGRAM PAGE

Sharing exciting news! We have a new Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [London](#) and [Myhanh](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

## CAE ROUNDTABLE

Calling all CAEs and Audit Shop Leaders—reach out to [Shawn Stevison](#) to connect regarding resumption of the CAE Leader roundtable.

## IIA ACADEMIC RELATIONS

### Student Memberships

#### FREE IIA Student Memberships!

Students can register [HERE](#) for a Free Student Membership, then choose the Louisville Chapter once enrolled. Please continue to encourage your Interns and junior associates to join our IIA Chapter. IIA Student Memberships are now free of charge for any student enrolled in a minimum of 6 credit hours.

#### Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. [Zack Estes](#) is working with local Colleges and Universities to arrange for guest speakers. Please reach out to Zack if you would be interested in getting involved with these schools.

#### Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.

## CAREER OPPORTUNITIES

**Kentucky Farm Bureau** is hiring for a Part-Time [Payroll Auditor](#). If interested, please click [here](#) for more information.

**Republic Bank** is hiring for a [Managing Director of Internal Audit](#). If interested, please click [here](#) for more information.

## New Members & Anniversaries

### New Members

Meagan Barisich

Lori Cretney

### MEMBER ANNIVERSARY

5 Years

Hannah Horton

30 Years

Douglas Collins

## QAR Review

If you are qualified to perform QARs, please reach out to [Ann Bauer](#).

## LOCAL IIA NEWS

### Save 20% on CIA and CRMA Certification in May

Who doesn't like a sale and taking your professional credentials to a new level at the same time. The IIA is excited to announce the May 20% off sale for 2024! During the month of May, IIA members save 20% on Certified Internal Auditor® (CIA®) or Certification in Risk Management Assurance® (CRMA®) application and exam registration with code **May20%**. This offer expires on May 31. Take advantage of these savings and start earning the globally recognized internal audit designations that demonstrate competency, prove credibility, and can certify success.

Visit the IIA at <https://www.theiia.org/en/promotions/Accelerate-for-less/> for further information.

## NATIONAL IIA NEWS

### Complete Your CAP Report with the Academic Outreach Report

The Academic Outreach Report survey is now available. Please complete by May 31 by using the following link: <https://na.eventcloud.com/esurvey/2024academic>

### Internal Audit Month is Coming!

Internal Audit Month is about recognizing the important work Internal Auditors do. Celebrate your professional journey and inspire others by engaging your employer, colleagues, students, and government officials throughout the month of May, to bring awareness, support, and education about the the Internal Audit profession.

[Learn more here](#)

### Cybersecurity Topical Requirement

The global Topical Requirements public comment survey asks internal audit practitioners and stakeholders to provide feedback on a draft Topical Requirement and specifically the draft pilot Cybersecurity Topical Requirement. Click [here](#) to learn more.

### Compare and Share With Your Members!

#### 2024 IIA Pulse of Internal Audit

The go-to benchmarking, metrics, and trends report for the profession is now available for download. Refer to the following link to obtain your copy of the [2024 North American Pulse of Internal Audit](#) and share the digital-friendly format with your peers, audit committees, and executive management.

### International Conference – Early Registration

Join us in Washington, DC July 15-17 for [IIA's 2024 International Conference](#). The Conference sets the stage in sharing new and forward-looking information and leading practices in pursuing excellence in internal audit. This conference will prepare audit professionals to embrace and learn new technologies and implement new tools and techniques to effectively respond to shifting business and risk landscapes. A [discounted member in-person early registration](#) is now available through May 20.

If you have any questions, please do not hesitate to reach out to [chapter17@iiachaptercommunications.org](mailto:chapter17@iiachaptercommunications.org) or visit [our site](#).

OFFICERS AND COMMITTEE CHAIRPERSONS

PRESIDENT AND NOMINATING COMMITTEE CHAIR

**Lesley Clark**  
Federal Reserve Bank of St. Louis

VICE PRESIDENT & PROGRAM CHAIR

**Myhanh Pham**  
Synchrony

CHAPTER ACHIEVEMENT PROGRAM (CAP) CHAIR

**Jenni Schelling**  
Louisville Water Company

TREASURER

**Marcie Smitha**  
Park Community Credit Union

SECRETARY

**Robert Stutsman**  
Credit First National Association (CFNA)

MEMBERSHIP CHAIR

**Catie Knable**  
Caesars Entertainment

ACADEMIC RELATIONS

**Zack Estes**  
Republic Bank & Trust Company

ADVOCACY/DIVERSITY

**London Lopez**  
Humana, Inc.

**Myhanh Pham**  
Synchrony

AUDIT CHAIR

**Brittany Myers**  
Republic Bank & Trust Company

CERTIFICATIONS CHAIR

**Bob Aemmer**  
LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR  
**Shawn Stevison**  
Humana, Inc.

GOVERNMENT RELATIONS CHAIR

**May Porter**  
JCPS

JUNIOR ACHIEVEMENT CHAIR

**Andrea Higgins**  
Robert Half Management Resources

NEWSLETTER CHAIR

**Brittany Myers**  
Republic Bank & Trust Company

SEMINAR CHAIR

**Sonja Heath**  
Humana, Inc.

QUALITY ASSESSMENT REVIEW CHAIR

**Ann Bauer**  
Republic Bank & Trust Company

SOCIAL ACTIVITIES CHAIR

**Andrea Higgins**  
Robert Half Management Resources

WEBMASTER

**Sonja Heath**  
Humana, Inc.

SOCIAL MEDIA

**London Lopez**  
Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

**Chris Elmore** (Thru 5/31/2024)  
Churchill Downs

**London Lopez** (Thru 5/31/2024)  
Humana, Inc.

**Ariana Campbell** (Thru 5/31/2025)  
Fannie Mae

**Zack Estes** (Thru 5/31/2025)  
Republic Bank & Trust Company

**May Porter** (Thru 5/31/2025)  
JCPS

PAST PRESIDENTS TERMS

**Catie Knable** (Thru 5/31/2024)  
Caesars Entertainment

**Shawn Stevison**  
Humana, Inc. (Thru 5/31/2025)

ADVERTISEMENT POLICY

Area employers are eligible for free recruitment postings on the Louisville IIA website and in the monthly newsletter, IE at least one employee is a member of the local chapter. Newsletter advertisement rates for employers without a local chapter member and executive search firms are: **\$50 – ½ page; \$100 – full page**. Paid advertisements also include posting of recruitment information on the chapter website for one month. Payments must be received prior to posting. For more information, please email the Louisville IIA Chapter [Newsletter Chair](#).



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