



The Institute of  
**Internal Auditors**  
Springfield

# Springfield Audit Trails

October 2024

## Message from the President

Kayla Routh

The month of October brings the annual State Internal Audit Advisory Board (SIAAB) Fall Government Auditing Conference. A huge thank you to Jay Wagner for coordinating this event every year! We are excited to bring Toby Groves to our event in person after the past few years of having him virtually present to the Chapter. The two days of in-person training is a great time to meet and mingle with all of our members, new and seasoned, and strengthen your business connections. For more information on the conference, please see pages [2-4](#).

This also is a friendly reminder that membership payments are due to Headquarters, not our local Chapter. Please double check the address (Lake Mary, FL) and TIN (135532538) to ensure your payment details are correct. Additionally, if you have student interns, we would love to have them be part of this Chapter! They get a complementary IIA student membership and can receive a free SWAG bag directly from Headquarters for signing up. They can also utilize their membership to attend our trainings at the discounted member rate! This is not only for accounting students but any student who is interested in getting more information on the internal audit profession. For more information about this, please let Leighann Manning or myself know. You can also visit the following website: [Student \(theiia.org\)](http://Student(theiia.org)).

It's time to pull the cozy wardrobe from the back of your closet, snuggle up on the couch with a good book, tv show or movie and enjoy the festivities. Since this will be the last newsletter before the start of the new year, I hope you all have a wonderful holiday season with whoever you celebrate with and whatever celebrations you have. I can't wait to come back in 2025 to wrap up the Chapter year with you all!

As always, my "door" (email) is always open. If you have suggestions or questions for the Chapter, please feel free to reach out to me. I would love to hear from you. Additionally, if you have stories you would like featured in this newsletter, please let us know!

Best Wishes and Holiday Cheer!  
Kayla Routh, President

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# Training

## October Training

Alex Deal

Training will be held in-person.

### 2024 Illinois Government Auditing Conference

The Illinois State Internal Audit Advisory Board (SIAAB) will host its annual Fall Government Auditing Conference on Tuesday, October 22 and Wednesday, October 23, 2024 at the Northfield Inn Suites and Conference Center, and will provide up to 14 hours of government-focused continuing professional education (CPE) at a cost of \$125 for IIA members and students and \$150 for nonmembers. The cost includes lunch on both days. The conference brochure, registration instructions, and lodging information are available at: [https://siaab.audits.uillinois.edu/Fall\\_Conference/](https://siaab.audits.uillinois.edu/Fall_Conference/).

The venue, Northfield Inn, is providing a discount lodging rate of \$107 per night (plus tax), and provides complementary shuttle service to Amtrak, the airport, and train stations. Call 217-523-7900 for reservations! The block of rooms for this conference is reserved under the name of Institute of Internal Auditors. This block of rooms will be released on October 7, 2024. After the cutoff date, there is no guarantee rooms will be available at State rates.

*CONFERENCE CANCELLATION POLICY: Written notice of cancellations received on or before October 18, 2024, will be fully refunded. After October 18, 2024, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.*

*PLEASE NOTE: Registration for this conference will be closed at noon on October 18, 2024. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact H. Jay Wagner at 217-524-4094 or at [Harold.Wagner@ilag.gov](mailto:Harold.Wagner@ilag.gov)*

### Springfield Chapter Training

On Thursday, October 24<sup>th</sup>, the Springfield IIA will host an optional half-day afternoon seminar from 1:00 PM to 4:30 PM at the same location. We will have Kelly Paxton join us to present on the topic of Fraud and Pop Culture for 4 CPE. You must register and pay separately for this session. Cancellations should be made prior to the start of training. Below is a brief description of the course and Kelly's bio.

Hollywood knows fraud is a big money maker for them. They make movies about fraud all the time. Some of the biggest blockbuster movies, *Wolf of Wall Street*, *Catch Me If You Can*, are about fraudsters.

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Attend **Fraud and Pop Culture** and learn:

- Why do fraudsters make such great characters in movies? Do people relate to the fraudsters?
- What motivates the fraudster in these movies? Is it money? Is it the lifestyle?
- Themes about fraud in pop culture such as whistleblowing, greed, how they started, how they got caught.
- Learn not only about movies but books, podcasts and crazy stories that you can't believe to be true but unfortunately are true.

This training is not only engaging and entertaining, but it provides a look into how the world views fraud and those who commit it and fall victim to it. It is unlike any other course you have taken.

## About the Speaker:

Kelly Paxton is a nationally recognized expert on Pink Collar Crime and fraud. What started as a research project, why are so many embezzlers women, has become an extremely relevant and relatable speaking topic. While working as a federal agent she was used to dealing with the typical "bad" guys. But eventually she found herself investigating regular people who you never would have thought had broken the law. Kelly has investigated hundreds of cases ranging from money laundering to conflict of interest to embezzlement. Her hands-on investigative experience for the government and private sector along with research into criminal behavior and investigating for both the prosecution and defense provides real-life, practical information.



For over 20 years, Kelly has worked all types of investigations: money laundering, embezzlement, conflict of interest, FCPA and criminal defense work. Kelly has worked in the public and private sector. Kelly's clients have been government agencies, publicly traded companies, non-profits, medical offices and gaming. Kelly also hosts a podcast called Fraudish. You can learn more at: [kellypaxton.com](http://kellypaxton.com) or [pinkcollarcrime.com](http://pinkcollarcrime.com).

Cancellations should be made prior to the start of training.

**NOTE: CPE Certificates will not be issued until payment is received**

Questions? Email - Chapter Secretary [Chapter145support@iiachaptercommunications.org](mailto:Chapter145support@iiachaptercommunications.org)

## Register for the training here

In-Person Training

### Illinois Government Auditing Conference

October 22-23

8:30 AM – 4:30 PM

### Fraud and Pop Culture

October 24

1:00 PM – 4:30 PM

You may pay with a credit card.

Make Checks Payable to: The Institute of Internal Auditors–Springfield (FEIN 37-1088763)

Mail Checks to: Springfield Chapter Institute of Internal Auditors  
P.O. Box 332, Rochester, IL 62563

## Other Regional Training

In an effort to help our IIA District, the Chapters are working together to cross-promote each other's events. We have received an invite for training from the Chicago Chapter. The information for the event is included below. This training is not part of our regularly scheduled training and are included as an addition to our regular program. We acknowledge that some of these events may not be relevant to our membership as we are a government-focused chapter. Please see below for details on these two trainings.

### IIA Chicago Chapter:

#### ***Mastering the Art of Negotiating and Defeating Imposter Syndrome***

**Date October 29, 2024**

**Time: 12:30 pm – 4:30 pm**

**Location: Virtual**

**Speaker: Lawrence D. Eichen, Esq.**

**CPE: 4**

**Cost: \$59.00 Members / \$129.00 Non-members**

**Early Bird Pricing: \$49 Members / \$119 Non-Members (if registered by October 15<sup>th</sup>)**

[Click here](#) to register

Learning Objectives:

#### **Mastering the Art of Negotiating**

- Understand how to commit to positions, yet be mindful of compromise
- Demonstrate confidence in presenting their position
- Negotiate courageously despite fear and doubt
- Handle objections calmly
- Use compassion to overcome challenging personalities

#### **Defeating Imposter Syndrome**

- Understand common symptoms of Imposter Syndrome
- Identify the 5 personality types of "Imposter"
- Use proven strategies to defeat Imposter Syndrome
- Embrace challenges with greater confidence and resilience
- Obtain the rewards of defeating imposter syndrome

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## Become an IIA Volunteer

Volunteers help to maintain the Chapter and keep services available for members. There are many ways you can get involved with the IIA. Whether you are an experienced leader or just entering the field of internal auditing, there is a place for you at the IIA. If you are interested in volunteering on a large or small scale, please email the Springfield IIA at [Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org). We would love to get you any information you could want on Officer or Governor roles. It is never too early to look ahead and consider running for a position in the next Chapter Year.



(Source: Google Images)

# HQ News & Events

You can find out events and news from the IIA by going to [www.theiia.org](http://www.theiia.org). Additionally, as part of your membership you can access exclusive webinars and articles on the site. See below for exciting training opportunities that Headquarters is offering:

## **2024 IGNITE Conference (October 21-23, 2024, Las Vegas, NV)**

The IGNITE conference is a brand-new offering that will deliver an interactive experience for emerging leaders and auditors who are interested in gaining hands-on tools that are necessary for the future of internal audit. The conference will include deeper networking & engagement opportunities, dynamic keynote speakers, and deep dives into new and emerging topics. For more information, visit the website at: [IGNITE Emerging Leaders Conference | Global Internal Audit Conference | The IIA](#)

## **2024 Cybersecurity Virtual Conference (October 30, 2024)**

The 2024 Cybersecurity Virtual Conference is a one-day conference specifically designed to ensure participants stay not only abreast, but ahead of critical issues in data protection, data privacy and cybersecurity to become more responsive in their work. For more information, visit the website at: [2024 Cybersecurity Virtual Conference \(theiia.org\)](#)

## **2024 AuditSphere: A Virtual Conference for Small Audit Teams (December 5, 2024)**

This event will provide the small shop auditor with additional guidance and expertise to be able to manage the unique relationships and challenges faced by those with small staff yet increasing board expectation. For more information, visit the website at: [2024 AuditSphere Virtual Conference \(theiia.org\)](#)

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# From the Editor

Dani Berrien  
[dani.berrien@ilaq.gov](mailto:dani.berrien@ilaq.gov)

Happy fall everyone!

It's the season of apple cider donuts, pumpkin patches, the start of football season, and crisp cold fall air! That also means its time for our annual fall conference! We have some exciting topics for training this year! There are three speakers I'm eager to hear. One is Chris Kalafatis and his seminar is titled *Fraud: From Good to Guilty*. The other speaker I'm looking forward to hearing from is Susan Haling from the Executive Inspector General who is giving us an Inspector General update and focusing on conflicts of interest. The third speaker is Kyle O'Rourke who will be speaking on *Building Public Trust*. All three of these speakers will be on day one of the conference. Click [here](#) to view the conference schedule and other information.

On another note, after six months I am finally back in my home post-fire. This time could not come fast enough. I (un)fortunately had to live in a hotel for that entire time. In my opinion, hotel living is not all it is cracked up to be. I liked being able to go downstairs and eat some breakfast and I liked that there was free laundry available. However, having a dog that is trained to free roam meant that housekeeping couldn't come clean my room. With that, my weekends consisted of myself borrowing the housekeeper's cart to clean my room myself. It was also slightly inconvenient to have to constantly get my room key reprogrammed every time I could no longer get in my room. Nonetheless, in experiences like these you learn to be grateful for the little things just as much as the big things. I'm glad to be back home and on to my next challenge: UNPACKING!

I hope everyone has a wonderful holiday season, a happy new year, and I hope to see you all at the conference once again!

# This Month in Chapter History - October

**2019** Chapter President: **Nikki Lanier**, CPA

- **Training.** The Chapter held a half day training session on the topic of “*IT Security Issues*,” presented by Protiviti.

**2014** Chapter President: **Tracy Allen**, CPA, CISA

- **No activities/trainings held.**

**2009** Chapter President: **Larry Stone**, CISA, CCP, CRPC

- **Training.** The Chapter planned to host an afternoon seminar by Rick Owens titled, “*Good to Great*”, however, the seminar needed to be canceled. The seminar was going to cover the principles used by organizations that made the leap from good to great.
- **Member Profile.** This month’s member profile was done on co-treasurer of our Chapter **Shayne Clennon**, CPA.

**2004** Chapter President: **Stephen Kirk**, CIA, CGAP

- **Training.** The Chapter hosted a full day seminar titled “*Auditing for Fraud*” which was presented by John Hall and held at the Northfield Center.
- **Prize Winners.** **Stephanie Kent, Tina Neely, Janine Toman, Bill Womack, Al Okwuegbunam** were this month’s prize winners.

**1999** Chapter President: **Rusti Cummings**, CPA

- **Training.**
  - This month’s meeting was held at the Northfield Center. The lunch speaker was **Deanna Marvin**, CIA, CISA, IS Audit Supervisor at the Illinois Department of Corrections. Deanna had worked in many capacities in internal audit departments at various state agencies since 1983. Deanna spoke on “*Software Privacy*”, the ease of copying software along with the frequent misunderstanding of license agreements that cause many people to become pirates without realizing it.
  - The afternoon seminar topic was “*Electronic Data Interchange*.” The speaker was **Larry Stone**, CISA, CCP, Senior IS Auditor with the Department of Public Health. Larry has been an internal auditor for 18 years with the last 13 focused on information systems. Larry had made presentations for CanAudit, MIS, CPE, IIA, and ISACA. He was a part-time instructor at the University of Illinois at Springfield and co-authored the book *Electronic Commerce: Control Issues for Securing Virtual Enterprises*.
- **Member News.** The following members were recognized:
  - **Deanna Marvin** passed the CIA exam and the CISA exam.
  - **Diana St. Cin**, CPA passed the CISA exam.

**1994** Chapter President: **Nancy Hilger**, CPA

- **Training.** This month’s meeting was held at the Best Western Springfield Esat and was a joint meeting with the Central Illinois Chapter. IIA Chairman **Carman L. Young** was the after-dinner speaker. Her theme was “*Striking the Right Balance*,” the perfect combination of skill, intuition, experience, and drive.
- **Member News.** New members were **Philip Donaho**, Revenue; **Wayne McMillian**, IDOT; **Michael Ostrander**, Horace Mann; **Rodney Townsend**, IDPA; and **Lisa Warden**, OAG.

**1989** Chapter President: **Nita Wilson**

- **Training.** This month’s meeting was held at the Ramada Renaissance Hotel. It was a Bosses’ Luncheon, and a joint meeting with the Association of Governmental Accountants, the State Internal Audit Managers Association, and the 1989 Midwestern Region District conference participants. The luncheon speaker was Margaret Kelly, CPA, Missouri State Auditor, who spoke on “*Independence, Ethics, and the State Auditor*.”
- **Member News.** **Jim Kincaid** and **Kevin Carhill’s** article entitled “*Applying the Standards in Governmental Internal Auditing*” was published in the October 1989 issue of the Internal Auditor magazine.

# 2024-2025 PROGRAM SCHEDULE

Springfield Chapter of the Institute of Internal Auditors

<b>October 22-23</b> 8:30 AM – 4:30 PM	Various (in-person) <b>SIAAB Illinois Government Auditing Conference</b> (14 hours)	<a href="#">Register here</a>	Members \$125 Non-Members \$150
<b>October 24</b> 1:00 PM – 4:30 PM	Kelly Paxton (in-person) <b>Fraud and Pop Culture (4 hours)</b>	<a href="#">Register here</a>	Members \$70 Non-Members \$140

We are working to get the rest of the year scheduled and will send out a full schedule once it has been approved. Thank you for your patience with us as we work to get new and exciting speakers to present for our Chapter.

You may pay with a credit card.

Cancellations should be made prior to the start of training.

Meetings are currently being held remotely for the 2024-2025 Program Year unless otherwise noted.

**Note: CPE Certificates will not be issued until payment is received**

Chapter Mailing Address Springfield Chapter Institute of Internal Auditors  
P.O. Box 332  
Rochester, IL 62563

Email - Chapter Secretary [Chapter145support@iiachaptercommunications.org](mailto:Chapter145support@iiachaptercommunications.org)

# Chapter Officials 2024-25 (June 1, 2024 – May 31, 2025)

## Officers

### President

Administration  
Audit  
Nominating Committee

### First Vice President

Programs and Seminars  
Certifications Program  
Nominating Committee

### Second Vice President

Academic Relations  
Memberships

### Secretary

Seminar Registration  
Directory, Distribution, PR  
Newsletter

### 1<sup>st</sup> Treasurer

### 2<sup>nd</sup> Treasurer

### Kayla Routh

[Chapter145President@iiachaptercommunications.org](mailto:Chapter145President@iiachaptercommunications.org)

### Alex Deal, CIA, CGAP

[Chapter145Events@iiachaptercommunications.org](mailto:Chapter145Events@iiachaptercommunications.org)

### Leighann Manning, CIA, CGAP, CFE

[LManning@illinoistreasurer.gov](mailto:LManning@illinoistreasurer.gov)

### Kristen Drainer

[Chapter145support@iiachaptercommunications.org](mailto:Chapter145support@iiachaptercommunications.org)

[Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org)

[Chapter145@iiachaptercommunications.org](mailto:Chapter145@iiachaptercommunications.org)

## Governors

2022-2025 Satu Allen, MS, CISA  
Tassi Maton, CPA, CIA  
Casey Evans

2023-2026 Angie Bartlett, CPA, CIA  
Butch Stilwell  
Candice Long  
David Brink

2024-2027 Nick Barnard  
Charla Bond-Jones  
Jackie Hohn  
Nikki Lanier, CPA

Past President Katrina Woodcock, CPA  
Staceyann Cabey-Kaufmann

## Other Volunteers

Newsletter

Dani Berrien

Financial Statements Reviewer

Spokane Washington IIA Chapter