

Happy Holidays

Best wishes for the Holidays, and
for health and happiness
throughout the coming year.

IIA San Gabriel Valley Chapter
December 2024

IIA SAN GABRIEL VALLEY CHAPTER NEWSLETTER

December 2024 / Vol 10

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As we conclude 2024, we reflect on the ongoing success and growth of the San Gabriel Valley Chapter. Since its founding in 1985, the Chapter has served the San Gabriel Valley and the greater Los Angeles area, bringing together internal auditors and professionals from a range of industries, including healthcare, finance, utilities, and government.

Membership is open to anyone with an interest in internal audit. We encourage all members to pursue Certified Internal Auditor (CIA) status as part of their professional development.

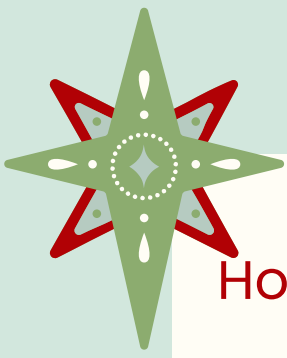
This year, we've stayed focused on supporting members working in internal audit, governance and internal control, IT audit, education, security, and many more. Our goal remains to provide valuable continuing education and opportunities to connect with peers in the profession.

Heading into the new year, we're grateful for all the engagement and contributions of our members. We look forward to another year of growth and collaboration.



The Institute of
Internal Auditors

Warm Wishes,
IIA San Gabriel Valley Chapter



Fun Activity

Holiday "Risk Assessment": Are You Ready for the Season?

1. Budget Risk

- Yes, I've set a holiday spending limit. (1 point)
- No, I haven't set a budget yet. (2 points)

2. Gift Selection Risk

- Yes, I've double-checked my gift list. (1 point)
- No, I still need to confirm my gifts. (2 points)

3. Time Management Risk

- Yes, I've planned time for both work and fun. (1 point)
- No, my schedule is already overloaded. (2 points)

4. Stress Risk

- Yes, I'm managing stress and making time for self-care. (1 point)
- No, I'm feeling overwhelmed. (2 points)

Scoring:

- 4-5 points: You're on track to a stress-free holiday season!
- 6-7 points: Watch out for a few risks and adjust your plan to stay balanced.
- 8 points: Take a deep breath! It's time to reassess and make adjustments to prevent holiday overload.



MERRY &
BRIGHT



SAN GABRIEL VALLEY CHAPTER

Email us at chapter208@iiachaptercommunications.org

IIA San Gabriel Valley Chapter Chapter Board of Governors, Officers, and Chairs for 2024-25

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Chapter Board of Governors, Officers, and Chairs for 2024-25



Thanks for your support

WRITING TO ACHIEVE RESULTS

**William
Woodington**



THURSDAY, JANUARY 23, 2025
9:00 AM – 2:00 PM PST (4 CPEs) Via Zoom

This course covers key business writing skills, including structuring content effectively, understanding audience and purpose, clear writing, and editing and proofreading. It is designed to help individuals improve their professional writing. To register, click [here](#).



\$50 IIA Members
\$75 Non-Members
\$10 Educators/Students



The Institute of
Internal Auditors
Inland Empire



The Institute of
Internal Auditors
San Gabriel Valley

PROGRAM UPDATE

1. CYBERSECURITY WEBINAR

Our recent cybersecurity webinar was a resounding success, drawing strong participation from auditors and professionals across various industries. The session highlighted the critical business risks posed by cyber threats and explored how organizations can proactively address them. Participants gained valuable insights into emerging cybersecurity risks faced by sectors such as banking, government, and healthcare. The discussion included real-world case studies that showcased how cyber fraud is detected and mitigated, providing practical strategies to navigate evolving threats. This high-level session underscored the importance of staying informed and proactive in the face of increasingly sophisticated cyber risks. Thank you to our expert speaker and engaged audience for making this an informative and impactful event!

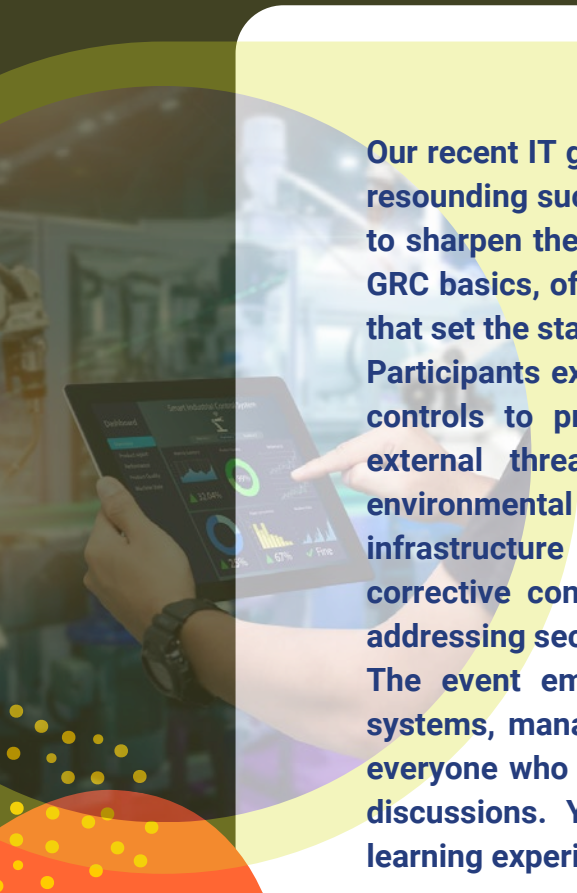


2. IT GENERAL CONTROLS WEBINAR

Our recent IT governance, risk, and compliance (GRC) workshop was a resounding success, attracting a strong turnout of professionals eager to sharpen their strategies. The session began with an overview of IT GRC basics, offering a streamlined approach to foundational concepts that set the stage for more advanced discussions.

Participants explored logical security measures, focusing on effective controls to protect systems and digital assets from internal and external threats. The workshop also delved into physical and environmental security, emphasizing the importance of safeguarding infrastructure beyond traditional digital defenses. In addition, corrective controls were highlighted, providing actionable steps for addressing security incidents and ensuring swift, efficient recovery.

The event emphasized practical, real-world methods for securing systems, managing risks, and maintaining compliance. Thank you to everyone who attended and contributed to the dynamic and engaging discussions. Your participation made this workshop an invaluable learning experience!



Deck the Halls With Resilience

Tuesday, December 10, 2024

Pre-Meeting @ 3pm | Dinner Program @ 5pm

Hilton San Gabriel | 225 West Valley Blvd. San Gabriel, CA 91776

Speakers

Pre-Meeting	Holiday Event
Debbie Lew, Kaiser Permanente	Keynote: Scott Madenburg
Moderator	Richard Kessler, Protiviti
Carol Gonzales, Cal Poly Pomona	Danielle Yamane, The Trade Desk
	Urvashi Royjain, East West Bank

Continuing Education Credits | ISACA Los Angeles Chapter | Toy Drive for Children

PROGRAM UPDATE (Continued)

3. "DECK THE HALLS WITH RESILIENCE" ANNUAL HOLIDAY SEMINAR

In collaboration with the IIA Chapters – Los Angeles, San Gabriel Valley, and San Fernando Valley – and the ISACA Los Angeles Chapter, a panel discussion on Artificial Intelligence and Resilience was held at the Hilton Los Angeles/San Gabriel with over 150 attendees. The opening keynote was delivered by Scott Madenburg, Founder, ARCHybrid Corporation. This was followed by a panel discussion featuring Richard Kessler, Director of AI Governance and Risk Management at Protiviti, Danielle Yamane, Lead Staff Information Security Engineer at Trade Desk and Urvashi Royjain, Deputy Chief Audit Executive at East West Bank, and Carol K. Gonzales as moderator. Through our collaboration, we provided 2 CPEs that explored organizational resilience, and the leadership needed to navigate through uncertainty and change.



PROGRAM UPDATE (Continued)



CALENDAR OF EVENTS

2024-2025 IIA and SGV Chapter

No.	Date	Topic
1	January 23, 2025 9:00 AM - 2:00 PM, PST Virtual 4 CPEs	<i>Writing to Achieve Results presented by William Woodington - IIA Inland Empire Chapter and IIA San Gabriel Valley Chapter</i>
2	February 20, 2025 Virtual 1 CPE	<i>Topic: TBD (Soft Skills) presented by Jay Gubrud - IIA San Gabriel Valley Chapter*</i>
3	February 20, 2025 Virtual 6.6 CPEs	<i>2025 Fraud Virtual Conference - IIA</i>
4	March 10 - 12, 2025 Orlando, FL	<i>2025 GAM: Great Audit Minds Conference - IIA</i>
5	March 27, 2025 Orange County, CA 8 CPEs	<i>One-day Conference including Anthony Pugliese, CEO and President of the IIA - West District # 3 IIA Local Chapters*</i>
6	April 13 - 15, 2025 Orlando, FL	<i>2025 Global Student Conference - IIA</i>
7	April 24, 2025 10:00 AM - 4:30 PM ET Virtual 6.6 CPEs	<i>2025 Analytics, Automation and AI Virtual Conference - IIA</i>
8	April 25, 2025 In-Person Location: TBD 2 CPEs	<i>40th Anniversary Celebration including Ethics presented by Nejolla Korris - IIA San Gabriel Valley Chapter*</i>
9	May 2025 Virtual 8 CPEs	<i>Internal Audit Hot Topics Seminar and IA Awareness Month Celebration - Joint Collaboration with IIA Local Chapters*</i>
10	July 14 - 16, 2025 Toronto, Ontario	<i>2025 International Conference - IIA</i>
11	September 09, 2025 10:00 AM - 4:30 PM ET Virtual 6.6 CPEs	<i>2025 Enterprise Risk Management Virtual Conference - IIA</i>
12	October 06 - 08, 2025 Las Vegas, NV	<i>2025 IGNITE Conference - IIA</i>
13	October 16, 2025 10:00 AM - 4:30 PM ET Virtual 6.6 CPEs	<i>2025 Cybersecurity Virtual Conference - IIA</i>

* Events are subject to changes.

Save
THE
Date

UPCOMING IIA CONFERENCES

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www.theiia.org/en/learning/online

2025 Fraud Virtual Conference

FEBRUARY 20, 2025 | VIRTUAL | 6.6 CPES

The 2025 Fraud Virtual Conference will provide audit professionals with insights to sharpen their lenses and strengthen processes to detect and mitigate risks associated with organizational threats. This program will offer up to 6.6 CPEs with 9 hours of online streaming. The theme this year is **“Digital Detectives: Investigating Fraud in the Age of Technology”**. This conference will allow participants to hear case studies and discuss topics on fraud detection and prevention. For more info, please refer [here](#).

2025 GAM Conference

Great Audit Minds | MARCH 10 - 12,
2025 | ORLANDO, FLORIDA

Join the most esteemed gathering of internal audit innovators, leaders, and trailblazers at 2025 GAM: Great Audit Minds. GAM offers a dynamic in-person learning environment, where senior audit leaders can collaborate and discuss pressing business and audit challenges of today while pioneering the frontiers of tomorrow. Designed to meet the needs of the senior audit professional leading audit functions, GAM will allow you to embrace the future of internal audit and revolutionize your impact. For more info, please refer [here](#).

2025 Global Student Conference

APRIL 13-15, 2025 | ORLANDO, FLORIDA

The IIA's Global Student Conference enables students and educators from around the world to network with industry leaders, potential employers, and peers. Attendees enjoy exclusive opportunities to explore potential career paths, connect with industry experts, and meet representatives from Fortune 500 companies on site to share insights and mentor aspiring leaders. If you're an internal audit, accounting, business, or finance student, or if you have an interest or passion for the internal audit profession, consider immersing yourself in a dynamic, multi-day program designed to empower the next generation of internal audit leaders with the skills and insights needed for a successful career. For more info, please refer [here](#).

UPCOMING IIA LEARNING COURSES

www.theiia.org/en/learning/online/

Featured IIA Webinars (Free CPEs for Members!)

- [Unveiling an Organization's Hidden Dangers and Risk Blind Spots](#) (Jan 08, 2025)
- [Quality Assessment Challenges and Opportunities 2025 and Beyond](#) (Jan 14, 2025)
- [Transformative Auditing with AI: 3 practical use cases](#) (Feb 25, 2025)



Online Learning

- [ESG Certificate: Internal Auditing for Sustainable Organizations](#) (Jan 14, 16, 21, 23, 2025)
- [Data Literacy Certificate: A Journey to Data Analytics](#) (Mar 18 - 27, 2025)
- [Auditing the Cybersecurity Program Certificate](#) (Mar 24 - 28, 2025)

FOOD FOR THOUGHT



Managing Change Fatigue

Hervé Gloaguen, PHD, SEP | Nov 12, 2024



Without understanding how an audit can contribute to the resistance or burnout of a client, auditors may miss their goal of positive change. Audit fatigue on the part of clients is a commonly discussed topic among internal and external auditors. Gloaguen notes when the purpose and benefits of changes are not clearly communicated by the auditor, employees may feel left in the dark, which increases resistance. Showing emotional intelligence and taking a pragmatic approach may be more effective than a dogmatic approach.

Building a Better Auditor: Encouraging Collaboration Among Clients

William Carvalho | Nov 15, 2024

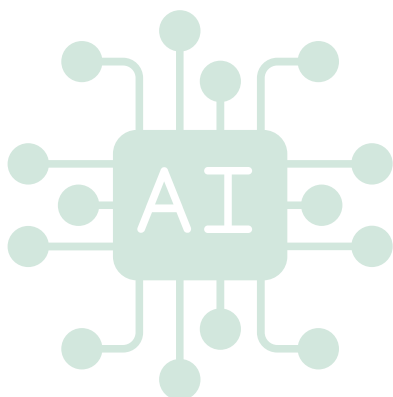
When action plans require collaboration across the organization, internal audit can help strengthen cooperation among the three lines. Carvalho discusses his role within internal controls to support clients in reviewing action plans aimed at mitigating audit risk, and how aligning the three lines based on leadership, commitment, communication, and teamwork creates a strong collaboration.



A Guide to Gen AI

Charles King, CIA, CPA, CFE, CIPP | Dec 2024

Early adopters of generative AI are creating policies to help realize its benefits while managing its biggest risks. It's worth considering the current GenAI ecosystem and how companies engage with these models. The more employees understand the model's behavior, the better positioned they are to identify problems. Employees on the lookout for factual errors can fact check GenAI responses before using the output.





DID YOU KNOW

San Gabriel Valley Chapter Achieved PLATINUM Status in Chapter Achievement Program for FY 2023-2024

The Chapter Achievement Program is an achievement/recognition program to recognize chapter activities that align with the IIA North American Strategic Plan



CONGRATS



DID YOU KNOW

Continued

2024 Global Internal Audit Standards

The new Global Internal Audit Standards were released on January 9, 2024, and will become effective on January 9, 2025. Internal audit functions may start adopting the Standards now.

The Standards guide the worldwide professional practice of internal auditing, are principle-based, and serve as a basis for evaluating and elevating the quality of the internal audit function. At the heart of the Standards are 15 guiding principles that enable effective internal auditing. Each principle is supported by standards that contain requirements, considerations for implementation, and examples of evidence of conformance. Together, these elements help internal auditors achieve the principles and fulfill the Purpose of Internal Auditing.

The updated International Professional Practices Framework® (IPPF®) organizes the authoritative body of knowledge, promulgated by The Institute of Internal Auditors, for the professional practice of internal auditing. The IPPF includes:

- Global Internal Audit Standards™
- Topical Requirements
- Global Guidance

Topical Requirements, the newest component of the International Professional Practices Framework, will ensure that all internal audit functions – large, small, private, or public – apply consistent audit methodology when assessing the effectiveness of governance, risk management, and controls of a particular topical area.

The use of Topical Requirements will be mandatory when an internal audit function scopes an audit engagement that includes the topic covered. See [here](#) for more details.



ACT NOW
LATER



DID YOU KNOW

Continued

WHEN WILL EXAMS FOR IIA CERTIFICATIONS BE UPDATED TO REFLECT THE NEW STANDARDS?

Q: Is it beneficial to wait for the new exams?

A: Each candidate must determine the answer to that question based on where the candidate is within the program. A careful review of the current and new syllabi will assist in determining which version to take.

Q: If I successfully pass an exam part before the new exams are released, do I have to retake the exam part again based on the new syllabus?

A: No. CIA candidates retain exam parts successfully completed before the new exams are released. Should your program expire, you will be required to retake previously passed exam parts and will be subject to the new syllabi.

Q: Will I be able to take any CIA exam parts before the new exams are released?

A: Yes. CIA candidates will be able to register and sit for the current exams until the revised exams are available in May 2025 (English only).

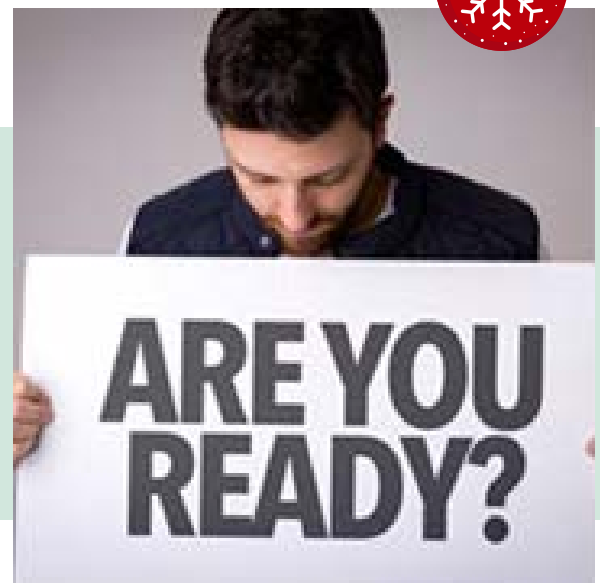
Q: When will I be delivered the new examination?

A: The delivery of the new CIA examination part is determined when an individual sits for the examination. Please refer to the publication schedule for the various languages. For example: If a candidate is taking the examination in English, it is scheduled to be launched on May 1, 2025. If the individual sits for the examination on April 30, 2025, they are delivered the current exam content. If they sit for the examination on May 1, 2025, they will receive the new CIA content. All previously passed examination parts remain with the individual as long as the program window is still valid. Please refer to the candidate handbook for additional information about program eligibility. For more info, please refer to the [CIA 2025](#).

WHAT IS CHANGING?

The topic areas on each CIA exam syllabus will be updated to:

- Bring the exams up to date with the current global practice of internal auditing.
- Create greater alignment between the CIA syllabi and The IIA's new Global Internal Audit Standards.
- Minimize duplication and overlap among the three exam parts.
- Clarify the knowledge, skills, and abilities that exam candidates must possess to pass the exam.
- For more info, please refer to the [CIA 2025](#). Information is subject to change.





DID YOU KNOW

Continued

IIA Quality Services

IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation

Why is it important for an internal audit department to conduct an External Quality Assessment (EQA)?

- To demonstrate and certify conformance to The IIA's International Standards for the Professional Practice of Internal Auditing and Code of Ethics, which require an EQA at least once every five years.
- To enhance stakeholder confidence in the internal audit activity's credibility and effectiveness in meeting their needs and expectations.
- To assess whether the internal audit activity has the right skills and strategies to meet future organization needs.
- To evaluate the effectiveness of the Quality Assurance and Improvement Program (QAIP) in meeting the requirements of continuous improvement; and to appraise and measure the efficiency and effectiveness of the internal audit activity.
- Provides recommendations and a road map for implementing best practices to enhance internal audit conformance and performance in the future.
- Gain valuable insight on department perceptions and reputation through in-depth interviews and surveys of stakeholders and internal audit department staff.
- Assessment of the internal audit department alignment with Organization strategies, objectives, risks, and plans.

Schedule a meeting automatically or email Quality@theiia.org with the subject line 'Consultation Request' to receive a complimentary consultation with a member of IIA Quality Services.

For more info, please refer [here](#).



Join the IIA-SGV Chapter's
LinkedIn for information on
upcoming events and
announcements
SGV LinkedIn

DID YOU KNOW

Continued

**JOIN THE IIA SAN GABRIEL VALLEY CHAPTER!
BECOME A MEMBER!**

JOIN the IIA SGV CHAPTER! BECOME A MEMBER!

Click on “**Join or Renew**” to complete an application



Remember to enter “**San Gabriel Valley**” as your Chapter Affiliation



Keep your IIA **Profile** up-to-date

Already an IIA **member** but want to be affiliated with the **San Gabriel Valley Chapter**?

- New in 2022! There is one last step to ensure you’re connected with the **San Gabriel Valley Chapter** for your new membership term, which is confirming your chapter affiliation.

To do so, log in to **My IIA Portal** and navigate to My Memberships > My Chapter to select and confirm your chapter selection.

To do so, log in to [My IIA Portal](#) and navigate to My Memberships > My Chapter to select and confirm your chapter selection.

**JOIN
US**



MEMBER SPOTLIGHT

MEMBERSHIP MILESTONES

40 Years

Claudia Piston

20 Years

Armando Ng
Jonathan Tanlioco

15 Years

Ronald Niko
Lauren Shaw

5 Years

Jose Vazquez
Raymond Woo



CONGRATS
on your
milestone

1 Year

Stephanie Olson
Zeina Raad
Rina Yarra
Shirley Yeung



Join the IIA-SGV Chapter's
LinkedIn for information
on upcoming events and
announcements

SGV LinkedIn



MEMBER SPOTLIGHT

Continued



WELCOME OUR NEW MEMBERS

Barbara Mateen

Jeremy Pike

Uriel Nicolas Saliendra

Alexis Wrizar-Bailon

Jaana Xie



FREE IIA STUDENT MEMBERSHIP

Student membership is open to individuals currently enrolled in an undergraduate, graduate, or doctoral program who are engaged in a full-time academic schedule at a college or university. Student membership is limited to a maximum of six years. No processing fee is required.

For more info, please refer [here](#).

NEWLY CERTIFIED MEMBERS

We want to congratulate our newly certified San Gabriel Valley Chapter members.

Certified Internal Auditor

Marim Fam



Join the IIA-SGV Chapter's LinkedIn for information on upcoming events and announcements

SGV LinkedIn

VOLUNTEER CALL TO ACTION

chapter208@iiachaptercommunications.org

Benefits

- Earn up to 15 CPEs for volunteering annually
- Access to event discounts
- Build up your resume qualifications
- Micro-volunteer opportunities
- Network to develop professional and social contacts
- Empower other professionals
- Share knowledge and keep up-to-date on the latest industry trends and best practice
- Have fun!

Who can volunteer

- ✔ Members
- ✔ Students
- ✔ Anyone



How to volunteer

Reach out to any of our Chapter Officers to let us know you are interested in helping or contact us at:

chapter208@iiachaptercommunications.org



NEWSLETTER

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Certifications

If success is measured by the impact you make, be certified for success with The IIA.

Why Should You Become Certified?

Earning a professional credential is essential to strengthening your knowledge base and be distinguished from your peers. Wherever your journey takes you, there is an IIA credential that can certify your success.

Internal Audit Practitioner

Demonstrate your aptitude and foundational knowledge of The IIA Standards. This designation is ideal for University students, rotational auditors and new auditors.



Certified Internal Auditor

As the only globally recognized internal audit certification, becoming a Certified Internal Auditor® (CIA®) is the optimum way to communicate knowledge, skills, and competencies to effectively carry out professional responsibilities for any internal audit, anywhere in the world.

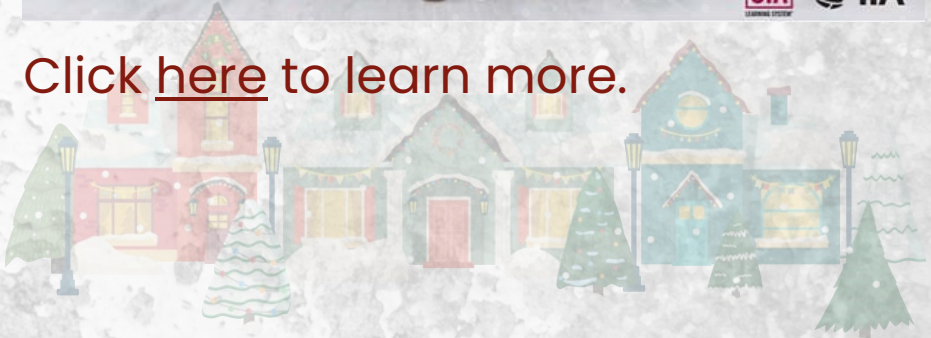


Certification in Risk Management Assurance

Updated to reflect the in-depth organizational knowledge and advanced skill sets that are required to provide effective risk management assurance to audit committees and executive management.

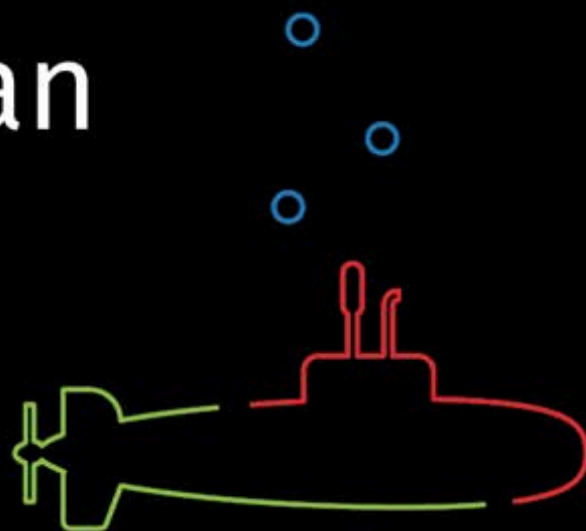


[Click here](#) to learn more.



Dive deeper than dashboards.

TeamMate® helps auditors see more.



Is your dashboard all flash or does it let you see what's below the surface?
To find unknown risks you need to dive deeper.

Drill down on your dashboard data

Whether your audit committee is looking for greater insight into risks or audit performances, or auditors need a detailed list of their priorities, TeamMate will help you dive deeper.

See more than one dimension

TeamMate is the purpose-built audit solution with multi-dimensional reporting. Ensure your view of risk isn't limited by your audit management tool.

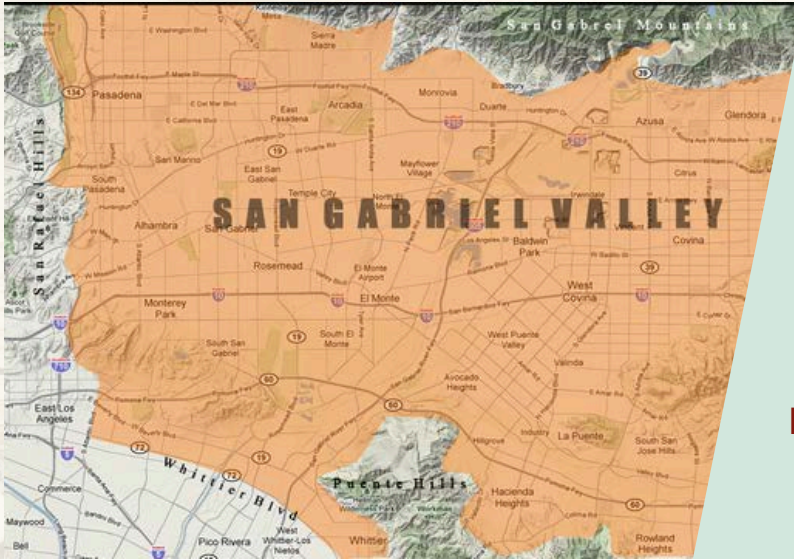
Find hidden insights

With an Excel-driven data analytics tool, a library of more than 150 tests, and features like the ability to visualize data to identify risks, TeamMate puts audit analytics in auditors' hands.



San Gabriel Valley Chapter NEWSLETTER

December 2024 Volume 10



Thank
you!

To our Chapter
Members, Sponsors,
and Volunteers!

- **Connect with us on [LinkedIn](#).**
- **Visit our [IIA Website](#).**
- **Email us at chapter208@iiachaptercommunications.org**

We are always looking for speakers to help bring knowledge to the San Gabriel Valley Chapter members.

Reach out to us at **chapter208@iiachaptercommunications.org** to recommend a speaker or volunteer to be our next presenter!

Let's
CONNECT

Newsletter Committee:
Ching-yi Bonita Leung, Vicky Tao, and Andrew Poh