



The Institute of
Internal Auditors
Trinidad & Tobago



ANNIVERSARY TRAINING WEEK

“Charting the future of
Internal Audit”

September 16 - 20, 2024

9.00 a.m. - 3.00 p.m. (5 CPEs)

IIA Zoom

SEMINAR COSTS: PER PERSON, PER DAY (12.5% VAT INCLUSIVE)

	<u>IIA Member</u>	<u>Non -Member</u>
1 Session only:	TT \$1,000 (US \$193)	TT \$1,300 (US \$236)
2 Sessions:	TT \$1,800 (US \$310)	TT \$2,400 (US \$398)
3 Sessions:	TT \$2,800 (US \$456)	TT \$3,600 (US \$573)
4 Sessions:	TT \$3,700 (US \$588)	TT \$4,800 (US \$750)
5 Sessions:	TT \$4,500 (US \$705)	TT \$5,800 (US \$896)

16

Jagdesh Parey

*Auditing Anti-Money
Laundering (AML)
/Counter Financing of
Terrorism (CFT)
Compliance*

17

Larry Kowlessar

*Conducting effective
Procurement Audits*

18

Dr. Sabine Charles

*Emotional Intelligence
for Internal Auditors
throughout the Audit
Life-Cycle*

19

Jenitha John

*Strengthening Integrity
in the Whistleblowing
Process*

20

Ernst and Young

*1. Artificial Intelligence
(AI) and the role of
Internal Audit (a.m.)*

*2. Performing Internal
Audit Assessments of the
IT Governance
Framework (p.m.)*

For further information, contact the IIATT office at:

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Jagdesh Parey

Jagdesh is a senior manager in the Risk Advisory Services function at Deloitte. His career spans over 18 years where he has performed and managed external and internal audits of both local and multinational companies of various sizes.

Jagdesh has led and performed a number of internal audit and external assessment engagements relating to Anti-money laundering (AML) Compliance, Gap analyses and maturity assessments of organizations' Fraud and AML compliance programmes and assisted non-financial institutions with designing, implementing and assessing their Due Diligence processes.

Course Outline: Auditing Anti-Money Laundering (AML) /Counter Financing of Terrorism (CFT) Compliance

1. Overview of money laundering and terrorist financing
2. Relevant industries including not for profit organizations
3. Pillars of an AML compliance program
4. Responsibilities of Internal Audit
5. Key considerations in planning the audit
6. Recommended focus areas:
 - o Risk assessment and risk rating process
 - o Process for resolution of previously identified findings and status
 - o Suspicious activity monitoring / sanctions testing
 - o Reporting process
7. Artificial Intelligence in the Fight Against Money Laundering

- o Transaction based testing
- o Training
- o Identification of suspicious activity

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Larry Kowlessar

Mr. Larry Kowlessar is an Internal Audit Practitioner and Risk Management Specialist. Larry worked at several large state agencies, leading the internal audit and risk management teams. A career auditor spanning over 25 years, he has acquired combined experience in Business Management, Auditing and Accounting.

As a Primary Facilitator of the Institute of Internal Auditors Inc., Larry has been identified as one of the leading facilitators to train individuals at both corporate and staff levels throughout Trinidad and Tobago, regionally and across the United States in the areas of auditing, risk management, compliance, corporate governance, internal controls, anti-money laundering, management and fraud.

Course Outline: Conducting effective Procurement Audits

1. Overview of the Procurement Act
 - o Overview of the Procurement Act of Trinidad and Tobago [2015]
 - o Major Elements of the Act
 - o Penalties
2. Procurement Fraud
 - o Nature of Procurement-related Fraud
 - o Factors contributing to Fraud in Procurement
 - o Barriers to preventing and detecting Procurement Fraud
 - o Ways Procurement Fraud can be perpetrated
 - o Exposures to Procurement Fraud in the organization
 - o Audit's value to management in preventing Fraud
 - o Key Management Controls
3. Audit's Work Program
 - o Creating the Procurement Work Program
 - o Reviewing the Procurement Cycle
 - o How Auditors Add Value in Improving Risk Management
4. Understanding the bigger picture
 - o Elements of Effective Procurement Contracts
 - o Common Pitfalls
 - o Overcoming Business Challenges

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Dr. Sabine Charles

Dr. Sabine Charles is a highly regarded expert in leadership, personal development, and education. She serves as the CEO of Charles Financial Strategies and TAPA Institute, where she brings her expertise to guide individuals and organizations towards success. With a background in research, auditing, teaching, and consulting, Dr. Charles has become a prominent figure in inspiring individuals to reach their full potential. She has authored several books, including her latest work, "Leadership MEQ." Despite being a full-time working mother, she pursued a master's in accounting and a Doctorate in Business Administration, exemplifying her commitment to lifelong learning.

Dr. Charles is frequently invited as a guest expert on renowned podcasts, where she shares groundbreaking insights and strategies for personal growth and organizational success. Through her writings, public speaking engagements, and collaborations, she has become a highly sought-after mentor and advisor in professional circles.

Course Outline: Emotional Intelligence for Internal Auditors throughout the Audit Life-Cycle

1. Introduction
2. Introduction to Emotional Intelligence
3. Self - Awareness in the Planning Phase
4. Self - Regulation in Fieldwork
5. Social Skills in Reporting
6. Empathy in Follow-Up and Issue Tracking
7. Integrating Emotional Intelligence in Internal Auditing

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Jenitha John

Jenitha is an influential voice in her field, having produced numerous papers on topics such as combined assurance, politics in internal auditing, and risk data aggregation in banks. She currently serves on GRI's Due Process Oversight Committee for Sustainability Standards and the Technical Committee on the ESG Exchange. As a consultant, she advises global companies on internal audit, ESG, risk and compliance management, corporate governance, and strategic and financial matters. She also conducts quality assurance reviews, internal audit training, and board and audit committee awareness sessions.

As a recognized speaker, Jenitha has presented at multinational conferences on various topics, including corporate governance, risk management, ESG, compliance, internal audit, women in leadership, the impact of AI and robotics on industries, emerging issues in financial services, and digital frauds in banks.

Course Outline: Strengthening Integrity in the Whistleblowing Process

1. Introduction
2. Understanding Whistleblowing
3. Internal Audit's Role
4. Introduction to Whistleblowing Program Audits
5. Planning the Audit
6. Conducting the Audit
7. Reporting and Follow-Up

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Ernst and Young Team



Sara Warris

Sara is a Senior Manager within EY's Consulting practice and has been with the firm for ten years. She works predominately in the Risk Consulting sub-service line, which also supports internal audit services. Prior to joining EY, Sara previously held positions in Auditing and Finance within the Manufacturing and Distribution Sector.

Her specialist experience includes, enterprise risk management (ERM) services, corporate governance, internal audit transformations, forensic investigations and general internal auditing.

Course Outlines

Artificial Intelligence and the role of Internal Audit **2.5 Hours - MORNING PERIOD**

1. Introduction to AI
2. Core technologies such as machine learning, natural language processing and robotics process automation
3. The potential impact of AI on businesses
4. Ethical considerations and AI governance
5. The role of internal audit in establishing controls and conducting tests of AI solutions
6. The role of AI in internal audit, risk assessment, control testing and fraud detection
7. Guidance on integrating AI into audit processes

Performing Internal Audit Assessments of the IT Governance Framework

1. IT governance framework/IT strategic alignment to business
2. IT Performance monitoring (value delivery)
3. IT organization structure and roles
4. IT risk management practices
5. Effectiveness of resource management (technology, human, financial)
6. Business operations continuity/disaster recovery

2.5 Hours - AFTERNOON PERIOD

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Vindev Oudit



Sindy Maharaj



Indar Ramcharan



Rajiv Redoy



Ronnell Roberts-Reid



Anil Persad